

Substitute for form 1449A/PTO (modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 1 of 1	Application Number	10/599730
	Filing Date	April 8, 2005
	First Named Inventor	Zarraga, Isidro Angelo E.
	Confirmation Number	3329
	Attorney Case Number	59706US006

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 2004/0010007 A1	01-15-2004	Dellaria et al.	
	A2	US- 2004/0132766 A1	07-08-2004	Griesgraber	
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			

Foreign Patent Documents						
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Ctry. Code	Number-KindCode (if known)			
	B1	CA	2468011 A1	05-30-2003		
	B2	EP	0394026 B1	09-29-1993		
	B3	WO	02/102377 A1	12-27-2002		
	B4					
	B5					
	B6					

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Clapper et al., <i>Trans. Soc. Biomat.</i> 16:42, (1993).	
	C2	Gref et al., "'Stealth' corona-core nanoparticles surface modified by polyethylene glycol (PEG): influences of the corona (PEG chain length and surface density) and of the core composition on phagocytic uptake and plasma protein adsorption," <i>Colloids and Surfaces B: Biointerfaces</i> , 2000; 18:301-313.	
	C3	Nektar Molecule Eng. Polyeth. Glycol and Derivatives for Advanced PEGylation. Cataloge 2003	

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

32692

Customer Number

Patent  
Case No.: 59706US006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: ZARRAGA, ISIDRO ANGELO E.  
Application No.: 10/599730 Confirmation No.: 3329  
Filed: April 8, 2005  
Title: METHODS, COMPOSITIONS, AND PREPARATIONS FOR DELIVERY OF  
IMMUNE RESPONSE MODIFIERS

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.  
☒ transmitted to United States Patent and Trademark Office on the date shown below via the Office electronic filing system.

June 28, 2007

Date

Chris Johnson  
Signed by: Chris Johnson

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

This Information Disclosure Statement is being filed within three months of the filing date of the application, or within three months of entry into the national stage, or before the mailing of a first Office Action on the merits.

Fees

- ☒ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.  
☒ The fee required under 37 CFR § 1.17(p) will be paid at the time of EFS-Web submission. In the event fees are not or cannot be paid at the time of EFS-Web submission, please charge any fees under 37 CFR § 1.17 which may be required to Deposit Account No. 13-3723.

**Copies of Documents**

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Respectfully submitted,

June 28, 2007  
Date

By: Ted K. Ringsred  
Ted K. Ringsred, Reg No.: 35,658  
Telephone No.: 651-736-5839

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833